UTTAM SUGAR MILLS LIMITED

Registered Office: - Village Libberheri, Roorkee, District -Haridwar, Uttarakhand - 247667

Corp. Office - A-2E, IIIrd Floor, CMA Tower, Sector 24, Noida - 201 301 (Uttar Pradesh)

Email:-gramarathnam_uttam@yahoo.com CIN:-L99999UR1993PLC032518 Website:-www.uttamsugar.in

Corporate Governance Report

1. Name of Listed Entity : Uttam Sugar Mills Limited

2. Quarter ending : 31.12.2020

I.	Compositi	on of Board of Direc	ctors											
Title (Mr./ Ms.)	Name of the Directors	PAN & DIN	Category (Chairperso n/ Executive/ Non- Executive/ Independent / Nominee	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of Appointment	Date of Re- appointment in the current term	Date of cessation	Tenure*	No. of Directorship in listed entities including this listed entity	No. of Independent Directorship in listed entities including this listed entity	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No. of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity
Mr.	Raj Kumar Adlakha	PAN-AJZPK7896C DIN-00133256	Managing Director	22-01-1956	-	-	28.07.1998	01.04.2018	-	-	1	-	-	
Mr.	Ashok Kumar Agarwal	PAN - AAMPA1736F DIN-05199585	Executive Director	09-03-1959	-	-	14.02.2012	01.01.2018	-	-	1	-	-	-
Мг.	Narendra Kumar Sawhney	PAN-AASPS8255P DIN-00109853	Non- Executive Independent Director	01-02-1942	Yes	20.09.2019	14.05.2015	20.09,2019	-	5 Years and 7 Months	1	1	2	1
Mrs.	Rutuja Rajendra More	PAN-AHJPM3620Q- DIN-07201928	Non Executive Independent Director	26-05-1969		_	05.06.2015	20.09.2019		5 Years 6 Months	1	1	•	•
Mr.	Gurbachan Singh Matta	PAN-AAEPM6860C DIN-02612602		24-03-1948	-	-	30.09.2020	-	-	-	2	2	2	1
Mr.	Jasbir Singh	PAN- AAAPS5546F DIN-08897793	Non- Executive Independent Director	20-08-1947	~	-	30.09.2020	-	-	3 Months	1	1	2	_

Whether Regular Chairperson appointed	Yes	
Whether Chairperson is related to managing Director or CEO	Yes	
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^{*} Note: Tenure of Independent Director is calculated on the basis of period from which Independent Director is serving on the Board till the date of relevant quarter. (i.e. from the date of appointment of Director as Independent Director appointed as per the Companies Act, 2013 till December Quarter.)







1. Mr. Narendra Kurnar Sawhney (Chairperson) 2. Mr. Jasbir Singh 3. Mr. Gurbachan Singh Matta 2. Nomination & Remuneration Committee 3. Mr. Gurbachan Singh Matta 3. Stakeholders Relationship Committee 4. Mr. Jashir Singh 3. Mr. Gurbachan Singh Matta 4. Mr. Gurbachan Singh Matta 5. Mr. Gurbachan Singh Matta 6. Mr. Gurbachan Singh Matta 7. Mr. Fashir Singh 7. Mr. Gurbachan Singh Matta 7. Mr. Gurbachan Singh Matta 7. Mr. Fashir Singh 7. Mr. Fashir Singh 7. Mr. Fashir Singh 7. Mr. Fashir Singh 7. Mr. Gurbachan Singh Matta 7. Mr. Fashir Singh 7. Mr. Fashir Singh 7. Mr. Fashir Singh 7. Mr. Fashir Singh 7. Mr. Gurbachan Singh Matta 7. Mr. Fashir Singh 7. Mr. Fashir Singh 7. Mr. Fashir Singh 7. Mr. Fashir Singh 7. Mr. Gurbachan Singh Matta 7. Mr. Gurbachan Singh Matta 7. Mr. Fashir Singh 7. Mr. Fashir Singh 7. Mr. Fashir Singh 7. Mr. Gurbachan Singh Matta 7. Mr. Gurbachan Singh Matta 7. Mr. Gurbachan Singh Matta 7. Mr. Fashir Singh 7. Mr. Fashir Singh 7. Mr. Gurbachan Singh Matta 7. Mr. Fashir Singh 7. Mr. Gurbachan Singh Matta	Name of Committee	e	Whether Regular Chairperson appointed		Name of Committee i	members			on/ Executive/Non- ndent/ Nominee)	Date of Appointment	Date of Cessation
2. Mr. Jasbir Singh 3. Mr. Gurbachan Singh Matta Non-Executive Independent Director Non-Executive Non-Independent Director Non-Executive Independent Director Non-Executive Non-Independent Director Non-Executive Independent Director Non-Executive Non-Independent Director Non-Executive Independent Dir	1. Audit Committee			1. Mr	Narendra Kumar Saw	hney	Non-E	xecutive Indepe	ndent Director	14.05.2015	-
3. Mr. Gurbachan Singh Mata Non-Executive Non-Independent Director 30.09.2020 - 2. Nomination & Remuneration Committee Yes 1. Mr. Narendra Kumar Sawhney (Chairperson) 2. Mr. Jasbir Singh Non-Executive Independent Director 30.09.2020 1. Mr. Jasbir Singh Mata Non-Executive Non-Independent Director 30.09.2020 1. Mr. Jasbir Singh Mata Non-Executive Non-Independent Director 30.09.2020 1. Mr. Narendra Kumar Sawhney Non-Executive Non-Independent Director 30.09.2020 1. Mr. Narendra Kumar Sawhney Non-Executive Independent Director 40.08.2018 1. Mr. Jasbir Singh Non-Executive Independent Director 40.09.2020 1. Mr. Narendra Kumar Sawhney Non-Executive Independent Director 40.09.2020 1. Mr. Narendra Kumar Sawhney Non-Executive Independent Director 40.09.2020 1. Mr. Narendra Kumar Sawhney Non-Executive Independent Director 40.09.2020 1. Mr. Narendra Kumar Sawhney Non-Executive Independent Director 40.09.2020 1. Mr. Narendra Kumar Sawhney Non-Executive Independent Director 50.09.2020 1. Mr. Narendra Kumar Sawhney Non-Executive Independent Director 60.09.2020 1. Mr. Narendra Kumar Sawhney Non-Executive Independent Director 70.09.2020 1. Mr. Narendra Kumar Sawhney Non-Executive Independent Director 70.09.2020 1. Mr. Narendra Kumar Sawhney Non-Executive Independent Director 70.09.2020 1. Mr. Narendra Kumar Sawhney Non-Executive Independent Director 70.09.2020 1. Mr. Narendra Kumar Sawhney Non-Executive Independent Director 70.09.2020 1. Mr. Narendra Kumar Sawhney Non-Executive Independent Director 70.09.2020 1. Mr. Narendra Mr. Narendra Kumar Sawhney Non-Executive Independent Director 70.09.2020 1. Mr. Narendra Kumar Sawhney Non-Executive Independent Director 70.09.2020 1. Mr. Narendra Kumar Sawhney Non-Executive Independent Director 70.09.2020 1. Mr. Narendra Kumar Sawhney Non-Executive Independent Director 70.09.2020 1. Mr. Narendra Kumar Sawhney Non-Executive Independent Director 70.09.2020 1. Mr. Narendra Kumar Sawhney Non-Executive Independent Director 70. No. of Independent Director 80.09.2020 1. Mr. Narendra Kumar Sawhne				(C	hairperson)						
2. Nomination & Remuneration Committee Yes J. Mr. Narendra Kumar Sawhney (Chairperson) 2. Mr. Jashir Singh 3. Mr. Gurbacham Singh Matta Non-Executive Independent Director 10.09 2020 10.											-
2. Mr. Jasbir Singh 3. Mr. Gurbachan Singh Matta Chairperson) 3. Stakeholders Relationship Committee Yes 1. Mr. Gurbachan Singh Matta Chairperson) 3. Mr. Gurbachan Singh Matta Chairperson) 4. Mr. Natendina Singh Matta Chairperson) 5. Mr. Jasbir Singh 7. Mr. Pastendina Singh Matta Chairperson) 7. Mr. Pastendina Singh Matta Chairperson 7. Mr. Pastendina Singh Matta 7. Mr. Jasbir Singh				3. Mr	. Gurbachan Singh Mai	ıta	Non-Ex	xecutive Non-In	dependent Director	30.09.2020	-
2. Mr. Jasbir Singh 3. Mr. Gurbachan Singh Matta 1. Mr. Jasbir Singh 1. Mr. Jasbir Singh 1. Mr. Jasbir Singh 1. Mr. Gurbachan Singh Matta 1. Mr. Jasbir Singh 1. Mr. Jasbir Singh 1. Mr. Jasbir Singh 2. Jul G. S. Matta 3. Mr. Jasbir Singh 3. Mr. Jasbir Singh 3. Mr. Jasbir Singh 4. Mr. Jul G. S. Matta 3. Mr. Jasbir Singh 4. Mr. G. S. Matta 3. Mr. Jasbir Singh 4. Mr. K. Sawhney 2. Mr. G. S. Matta 3. Mr. Jasbir Singh 4. Mr. K. Sawhney 3. Mr. R. Sawhney 4. Mr. K. Sawhney 5. Mr. G. S. Matta 6. Mr. Mr. Gurbachan Singh Matta 6. Mr. Hartin Singh Matta 7. Mr. Jul G. S. Matta 7. Mr. J	2. Nomination & Remuneratio	n Committee	Yes	1 Mr	Narendra Kumar Saw	hnev	Non-E	xecutive Indeper	ndent Director	11.11.2017	-
2. Mr. Jashir Singh 3. Mr. Gurbachan Singh Matta Non-Executive Non-Independent Director 30.09 2020 3. Stakeholders Relationship Committee Yes 1. Mr. Gurbachan Singh Matta Chairpresson) 2. Mr. Narendra Kumar Sawhney Non-Executive Non-Independent Director (Chairpresson) 3. Mr. Jashir Singh Non-Executive Non-Independent Director 30.09 2020 1II. Meeting of Board of Directors Date (s) of Meeting in the previous quarter Date (s) of Meeting in the previous quarter 14th August, 2020 28th October, 2020 28th			***			,					_
3. Stakeholders Relationship Committee Yes I. Mr. Gurbachan Singh Matta (Chaipperson) 2. Mr. Natendra Kumar Sawhney 3. Mr. Jasbir Singh Whether requirement of Quorum met quarter Date (s) of Meeting of Eventure Non-Executive Independent Director 14th August, 2020 1V. Meeting of Committees Date(s) of meeting of the committee in the relevant quarter Whether requirement of Quorum met 14th August, 2020 1V. Meeting of Committees Date(s) of meeting of the committee in the relevant quarter A. Audit Committee Yes Present 1. Mr. N.S. Sawhney 2. Mr. G. S. Matta 3. Mr. Jasbir Singh B. Nomination & Remuneration Committee Yes Present Yes 28th October, 2020 1. Mr. N.S. Sawhney 2. Mr. G. S. Matta 3. Mr. Jasbir Singh 1. Mr. N.S. Sawhney 3 2 2 21th September, 2020 74 days 22th August, 2020 74 days 75 days 76 days 77 days 77 days 78 days 79 days 79 days 70 days 71 days 71 days 72 days 74 days 75 days 76 days 77 days 77 days 77 days 78 days 79 days 79 days 79 days 70 days 70 days 71 days 71 days 72 days 74 days 75 days 76 days 77 days 77 days 78 days 79 days 79 days 70 days 70 days 71 days 71 days 71 days 72 days 74 days 75 days 76 days 77 days 77 days 77 days 78 days 79 days 79 days 70 days 70 days 71 days 71 days 71 days 72 days 74 days 75 days 76 days 77 days 77 days 78 days 79 days 79 days 70 days 70 days 70 days 71 days 71 days 71 days 72 days 74 days 75 days 76 days 77 days 77 days 78 days 79 days 79 days 79 days 70 days 70 days 70 days 71 days 71 days 71 days 71 days 72 days 74 days 75 days 76 days 77 days 77 days 78 days 79 days 79 days 79 days 70 days 70 days 70 days 70 days 71 days 72 days 73 days 74 days				2. Mr.	. Jasbir Singh		Non-E	xecutive Indeper	ndent Director	30.09.2020	
Chairperson 2. Mr. Nacherta Kumar Sawhney Non-Executive Independent Director 30.09.2020	J. 100										
2. Mr. Narendra Rumar Sawhney Non-Executive Independent Director 30.09.2020	Stakeholders Relationship C	ommittee	Yes			tta 1	Non-E	xecutive Non-In	dependent Director	30.09.2020	-
3. Mr. Jasbir Singh Non-Executive Independent Director 30.09.2020				(Ch	nairperson)	. 1					-
Date (s) of Meeting in the previous quarter Date (s) of Meeting (if any) in the relevant quarter Whether requirement of Quorum met Oquorum met Oqu						hney	Non-E	xeeutive Indeper	ident Director		
Date (s) of Meeting in the previous quarter Date (s) of Meeting in the previous quarter Date (s) of Meeting in the previous quarter Date (s) of Meeting of Committees 14th August, 2020 28th October, 2020 Yes A. Audit Committee Yes Present: 18th August, 2020 Present: No. of Independent Directors Present Directors Present Directors Present Date(s) of meeting of the committee in the previous quarter No. of Independent Directors Present Date(s) of meeting of the committee in the previous quarter No. of Independent Directors Present Date(s) of meeting of the committee in the previous quarter No. of Independent Directors Present Date(s) of meeting of the committee in the previous quarter No. of Independent Directors Present Date(s) of meeting of the committee in the previous quarter No. of Independent Directors Present Date(s) of meeting of the committee in the previous quarter No. of Independent Directors Present Date(s) of meeting of the committee in the previous quarter No. of Independent Directors Present Present: 18th August, 2020 Present: Prese	III Masting of Board o	CDinantana		3. Mr.	Jasbir Singh	<u> </u>	Non-E	xecutive Indeper	ident Director	30.09.2020	
Quarter Quarter Of Quorum met Directors Independent Directors Present Directors Dire						_					
14th August, 2020 28th October, 2020 Yes 6 3 74 days IV. Meeting of Committees Date(s) of meeting of the committee in the relevant quarter A. Audit Committee 28th October, 2020 1. Mr. N.K. Sawhney 3 2 21th September, 2020 B. Nomination & Remuneration Committee 1. Mr. N.K. Sawhney 3 2 21th September, 2020 2. Mr. G. S. Matta 3 Mr. Jasbir Singh Committee 28th October, 2020 1. Mr. G. S. Matta 2 Mr. N.K. Sawhney 3 2 14th August, 2020 2. Mr. G. S. Matta 3 Mr. Jasbir Singh Committee 28th October, 2020 2 Mr. G. S. Matta 2 Mr. N.K. Sawhney 3 2 14th August, 2020 2. Mr. G. S. Matta 3 Mr. Jasbir Singh C. Stakeholders Relationship Committee 28th October, 2020 2 Mr. N.K. Sawhney 3 2 14th August, 2020 2. Mr. G. S. Matta 3 Mr. Jasbir Singh C. Stakeholders Relationship Committee 28th October, 2020 1. Mr. G. S. Matta 3 2 14th August, 2020 C. Stakeholders Relationship Committee Yes Yes 3 2 14th August, 2020 2. Mr. N.K. Sawhney 3 2 14th August, 2020 C. Stakeholders Relationship Committee Yes 3 2 3 3 3 3 C. Stakeholders Relationship Committee Yes 3 3 3 3 3 3 3 3 3	Date (s) of Meeting in the p	revious	Date (s) of Meet	ting (if a	ny) in the relevant						
IV. Meeting of Committees	quarter			quarte	er .	of Quorum	met	200 20000		consecutive (in nur	nber of days)
IV. Meeting of Committees Date(s) of meeting of the committee in the relevant quarter Whether requirement of Quorum met (details) No. of Directors No. of Independent Date(s) of meeting of the committee in the relevant quarter A. Audit Committee Yes Present 1. Mr. N.K. Sawfiney 2. Mr. G. S. Matta 3. Mr. Jasbir Singh 3 2 14th August, 2020 74 days 74 days 74 days 74 days 74 days 75	14 th A		20th	Ortoba	- 2020	Van	_			74 day	
Date(s) of meeting of the committee in the relevant quarter A. Audit Committee Yes Present Present No. of Directors Present No. of Independent Directors Present Date(s) of meeting of the committee in the previous quarter Yes Present: 1. Mr. N.K. Sawhney 2. Mr. G. S. Matta 3. Mr. Jasbir Singh No. of Directors Present No. of Independent Directors Present Date(s) of meeting of the committee in the previous quarter A. Audit Committee Yes Present: 1. Mr. N.K. Sawhney 3 2 14th August, 2020 74 days 74 days 75 days 76 days 77 days 78 days 79 days The present: 1. Mr. N.K. Sawhney 2. Mr. G. S. Matta 3. Mr. Jasbir Singh No. of Independent Directors Present Date(s) of meeting of the committee in the previous quarter A. Audit Committee Yes Present: 1. Mr. N.K. Sawhney 3 2 21th August, 2020 The present: 1. Mr. Sawhney 3 2 21th September, 2020 The present Committee Yes Present: 1. Mr. G. S. Matta 3. Mr. Jasbir Singh Yes 28th October, 2020 1. Mr. G. S. Matta 2. Mr. N.K. Sawhney 3 2 14th August, 2020 The present Committee Committe	14 August, 2020		20	Octobe	r, 2020	165		6	3	/4 uay	5
committee in the relevant quarter A. Audit Committee Yes 28th October, 2020 B. Nomination & Remuneration Committee 1 Mr. N.K. Sawhney 2 Mr. G. S. Matta 3 Mr. Jasbir Singh Yes Present: 1 Mr. N.K. Sawhney 2 Mr. G. S. Matta 3 Mr. Jasbir Singh Yes 28th October, 2020 1 Mr. O.S. Sawhata 3 Mr. Jasbir Singh Yes 2 21th September, 2020 C. Stakeholders Relationship Committee 28th October, 2020 1 Mr. G. S. Matta 2 Mr. N.K. Sawhney 3 2 2 14th August, 2020	IV. Meeting of Commit	tees									
A. Audit Committee		Whether	requirement of Qu	orum	No. of Directors						
A. Audit Committee Yes Present: 1. Mr. N.K. Sawhney 2. Mr. G. S. Matta 3. Mr. Jasbir Singh Present: 1. Mr. N.K. Sawhney 2. Mr. G. S. Matta 3. Mr. Jasbir Singh Yes Present: 1. Mr. N.K. Sawhney 2. Mr. G. S. Matta 3. Mr. Jasbir Singh C. Stakeholders Relationship Committee Yes 28th October, 2020 1. Mr. G. S. Matta 2. Mr. G. S. Matta 3. Mr. Jasbir Singh Yes 28th October, 2020 1. Mr. G. S. Matta 2. Mr. N.K. Sawhney 3 2 2 21th August, 2020			met (details)		Present	Directors Pres	sent	committee in (be previous quarter		
Yes Present: 1. Mr. N.K. Sawhney 2. Mr. G. S. Matta 3. Mr. Jasbir Singh 3 2 14th August, 2020 74 days										of day	
Present: 1. Mr. N.K. Sawhney 3 2 14th August, 2020 74 days	A. Audit Committee		••								
1. Mr. N.K. Sawhney		B	Yes								
2. Mr. G. S. Matta 3. Mr. Jasbir Singh B. Nomination & Yes Present: 1. Mr. N.K. Sawhney 2. Mr. G. S. Matta 3. Mr. Jasbir Singh C. Stakeholders Relationship Committee 28th October, 2020 1. Mr. G. S. Matta 2. Mr. N.K. Sawhney 3 2 21th September, 2020 The sep	28th October 2020		Sawhnau		2	2		IAth A	namet 2020	7/ // 7/	
3. Mr. Jasbir Singh 3. Mr. Jasbir Singh	28 October, 2020				3			14 2	lugusi, 2020	/4 day	3
B. Nomination & Yes											
Yes Present: 1. Mr. N.K. Sawhney 3 2 21 st September, 2020											
Yes Present: 1. Mr. N.K. Sawhney 3 2 21 st September, 2020	D. Ni										
Present: 1. Mr. N.K. Sawhney 3 2 21 st September, 2020			Vec								
1. Mr. N.K. Sawhney 3 2 21 st September, 2020 2. Mr. G. S. Matta 3 Mr. Jasbir Singh C. Stakeholders Relationship Committee Yes	Remuneration Committee	Present.	103								
2. Mr. G. S. Matta 3. Mr. Jasbir Singh C. Stakeholders Relationship Committee Yes 28th October, 2020 1. Mr. G. S. Matta 2. Mr. N.K. Sawhney 3 2 14th August, 2020	-		. Sawhnev		3	2		21st Ser	tember, 2020	-	
C. Stakeholders Relationship Committee Yes 28th October, 2020 1. Mr. G. S. Matta 2. Mr. N.K. Sawhney 3 2 14th August, 2020						_			,		
Committee Yes 28th October, 2020 1. Mr. G. S. Matta 3 2 14th August, 2020 - 2. Mr. N.K. Sawhney 3 2 14th August, 2020 -		3. Mr. Jasb	ir Singh								
Committee Yes 28th October, 2020 1. Mr. G. S. Matta 3 2 14th August, 2020 - 2. Mr. N.K. Sawhney 3 2 14th August, 2020 -			-								
Committee Yes 28th October, 2020 1. Mr. G. S. Matta 3 2 14th August, 2020 - 2. Mr. N.K. Sawhney 3 2 14th August, 2020 -											
Committee Yes 28th October, 2020 1. Mr. G. S. Matta 3 2 14th August, 2020 - 2. Mr. N.K. Sawhney 3 2 14th August, 2020 -	C. Stakeholders Relationship				-						
2. Mr. N.K. Sawhney			Yes								
2. Mr. N.K. Sawhney	Committee	1			_			,			
		1 Mr. C. C	Matta					4.40%			
					3	2		14" A	u gust , 2020	1 2	
		2. Mr. N.K.	Sawhney		3	2		14" A	u gust , 2020	(Dm.
		2. Mr. N.K.	Sawhney		3	2		14" A	ugust, 2020	- (- Du

V. Related Party Transactions	
Subject	Compliance status (Yes/No/NA)
Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPT	-NA-
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes

Note

- 1 In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.
- 2 If status is "No" details of non-compliance may be given here.

VI. Affirmations

- 1. The composition of Board of Directors is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.
- 2. The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.
 - a. Audit Committee
 - b. Nomination & Remuneration Committee
 - c. Stakeholders relationship Committee
- The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing Obligations and Disclosure Requirements), Regulations, 2015.
- 4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.
- 5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors.

FOR UTTAM SUGAR MILLS

(RAJESH GARG)

COMPANY SECRETARY & COMPETANCE OFFICER